Appendix 8

Article 12 – Officers

ARTICLE 12 - OFFICERS

12.1 MANAGEMENT STRUCTURE

General

The full Council may engage such staff (referred to as officers) as it considers necessary to carry out its functions.

• Chief Officers

The full Council will engage persons for the following posts, who will be designated Chief Officer¹:

POST	FUNCTIONS AND AREAS OF RESPONSIBILITY
Chief Executive (and Head of Paid Service)	Overall corporate management and operational responsibility (including overall management responsibility for all officers);
	Provision of professional advice to all parties in the decision making process;
	Together with the Monitoring Officer, responsibility for a system of record keeping for all the Council's decisions;
	Representing the Council on partnership and external bodies (as required by statute or the Council).
Deputy Chief Executive	Assisting the Chief Executive in the overall corporate management and operational responsibility of the Council;
	Taking the lead on corporate initiatives, particularly the delivery of the Council's organisational corporate objectives;
	Representing the Council on partnership and external bodies.

¹ Any reference to a Director within the constitution shall be deemed to include reference to all officers listed in this Article, except where the context requires otherwise.

POST	FUNCTIONS AND AREAS OF RESPONSIBILITY
Assistant Chief Executive (Corporate	The Solicitor to the Council and Monitoring Officer; provision of legal advice;
Governance) (and Monitoring Officer)	Management of corporate governance;
	Procurement and purchasing, electoral matters, land charges, vehicle licensing, other licensing ² and enforcement duties, registration of births, deaths and marriages and civil partnerships, parish councils, and the management of matters relating to Members, the Lord Mayor, Committees and Scrutiny Support.
	Data protection, human rights, freedom of information and regulation of surveillance activities.
Assistant Chief Executive	Corporate planning and policy development,
Executive (Planning, Policy and Improvement)	Performance management, service improvement and transformation;
	Corporate equality and diversity activities;
	International and external relations;
	Communications strategy and policy, press and media relations;
	Knowledge and information management; and
	Customer services and the promotion of welfare rights.
Director of Resources (and Section 151 Officer)	The Chief Finance Officer (Section 151 Officer) to the Council - provision of financial advice;
	Ensuring financial prudence of decision making, and the proper administration of financial affairs including benefits, student support, the collection of revenue, Council tax and national non domestic rates, internal audit, creditor payments and the Council's insurance arrangements;
	Industrial relations and employment matters including employee training and health and safety;
	Risk management, civil defence and emergency planning;
	The application of information, communication and associated technologies to support the discharge of any of the Council's functions;
	Services relating to building maintenance, catering, cleaning, transport

² Under the Licensing Act 2003 and the Gambling Act 2005 Part 2 Article 12 Page 2 of <u>9</u>

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POST	FUNCTIONS AND AREAS OF RESPONSIBILITY
	and school crossing patrols; and
	Civic and community buildings, accommodation and facilities management.
Director of City Development	Contaminated land, obtaining information as to interest in land, and arrangements for the execution of highways works;
	The management of land (including valuation, acquisition, appropriation, disposal and other dealings with land or any interest in land); the operation of retail and wholesale markets and car boot sales;
	The promotion of economic development and economic regeneration;
	The management of the city centre (including the promotion of the city centre and management of public spaces);
	Highways and road traffic functions excluding parking enforcement;
	Building control; safety at sports grounds; land drainage; environmental management including the formulation and implementation of environmental improvement programmes;
	Design services and asset management;
	Street naming and numbering;
	Tourism and promotions;
	Cultural services (including the arts, libraries, record repositories, museums and art galleries, public entertainment, halls and venues);
	Recreation services (including parks, countryside and sports facilities);
	Cemeteries, crematoria, burial grounds and mortuaries; and
	Countryside management (including all matters relating to the provision and maintenance of footpaths and bridleways), and the provision and maintenance of landscaping schemes.
Director of	Statutory nuisances and air quality;
Environment and Neighbourhoods	Housing (excluding those matters delegated to ALMOs); the condition and occupation of housing; caravan sites and land occupied by travelling people;
	Area based housing led regeneration; community planning and community initiatives; community safety and the reduction of crime and disorder;
	Management and oversight of area based working arrangements;
	Vocational training and allied services for persons over compulsory school age, and the promotion of arrangements to assist persons to

POST	FUNCTIONS AND AREAS OF RESPONSIBILITY
	obtain employment, and employers to obtain employees;
	Environmental and consumer protection; health and safety, public health (including the investigation and control of notifiable diseases); animal welfare;
	The development and implementation of the municipal waste policy; and
	Streetscene management and related enforcement functions.
Director of Children's Services ³	The planning, commissioning and delivery of children's services (i.e. education, social and health services pertaining to children) and the promotion of arrangements for inter-agency co-operation.
Director of Adult Social Services ⁴	The planning, commissioning and delivery of services for vulnerable adults including social services and the promotion of arrangements for inter-agency co-operation.
Chief Officer (Customer Services)	Customer services and the promotion of welfare rights
Chief Officer (Children and Young People's Social Care)	Social services pertaining to children
Chief Officer (Early Years and Integrated Youth Support Service)	Early years development and childcare planning; youth services, services in so far as they relate to information advice and guidance under the Learning and Skills Act 2000, and operational responsibilities for the Youth Offending Service so far as they relate to the local authority
Chief Asset Management Officer	Obtaining of information as to interests in land, management of land, design services and asset management.
Chief Economic Development Officer	Operation of markets and car boot sales, promotion of economic development and economic led regeneration, the management of the city centre and tourism and promotions.
Chief Officer Highways and Transportation	Highways and road traffic functions excluding parking enforcement.
Chief Libraries, Arts and Heritage	Cultural services (including the arts, libraries, record repositories, museums and art galleries, public entertainments, halls and venues).

 ³ Appointed under Section 18 Children Act 2004
⁴ Appointed under Section 6 of the Local Authority Social Services Act 1970 Part 2 Article 12
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POST	FUNCTIONS AND AREAS OF RESPONSIBILITY
Officer	
Chief Planning Officer	The authority's role as local planning authority, building control, safety at sports grounds and street naming and numbering.
Chief Recreation Officer	Recreation services (including parks, countryside and sports facilities)
	Cemeteries, crematoria, burial grounds and mortuaries; and
	Countryside management (including all matters relating to the provision and maintenance of footpaths and bridleways), and maintenance of landscaping schemes.
Chief Environmental	Statutory nuisances and air quality;
Services <u>Officer</u>	The condition and occupation of private housing; caravan sites
	Environmental and consumer protection; health and safety, public health (including the investigation and control of notifiable diseases); animal welfare; and
	Streetscene management and related enforcement functions.
Chief Housing Services Officer	Housing (excluding those matters delegated to ALMOs);
	Private sector housing renewal;
	Land occupied by travelling people; and
	Housing regeneration through a public/private partnership.
Chief Regeneration Officer	Planning and procurement of area based, and housing led regeneration;
Onicer	Community planning and community initiatives;
	The management and oversight of area based working arrangements; and
	Vocational training and allied services for persons over compulsory school age, and the promotion of arrangements to assist persons to obtain employment and employers to obtain employees.

Officer functions are further described in Part 3 of the Constitution.

• Head of Paid Service, Monitoring Officer and Chief Finance Officer

The Council will designate the following posts as shown:

DESIGNATION	POST	DEPUTY

Head of Paid Service	Chief Executive	Deputy Chief Executive
Monitoring Officer	Assistant Chief Executive (Corporate Governance)	Chief Officer (Legal Licensing and Registration)
Chief Finance Officer	Director of Resources	Chief Officer (Financial Management)

The duties of both the Monitoring Officer and Chief Finance Officer (apart from the administration of the financial affairs of the Council) must be carried out personally, but can be carried out by a deputy nominated by them in cases of absence or illness.

Such posts will have the functions described in Article 12.2–12.4 below.

• Structure

The Head of Paid Service will determine and publicise a description of the overall departmental structure of the Council showing the management structure and deployment of officers. This is set out at Part 7 of this Constitution.

FUNCTIONS OF THE HEAD OF PAID SERVICE

• Discharge of functions by the Council

The Head of Paid Service will report to full Council on the manner in which the discharge of the Council's functions is co-ordinated, the number and grade of officers required for the discharge of functions and the organisation of officers.

• Restrictions on functions

The Head of Paid Service cannot be the Monitoring Officer but may hold the post of Chief Finance Officer if a qualified accountant.

12.2 FUNCTIONS OF THE MONITORING OFFICER

Maintaining the Constitution

The Monitoring Officer will maintain an up-to-date version of the Constitution and will ensure that it is widely available for consultation by Members, staff and the public.

• Ensuring lawfulness and fairness of decision making.

After consulting with the Head of Paid Service and Chief Finance Officer, the Monitoring Officer will report to the full Council or to the Executive in relation to an executive function, if he/she considers that any proposal, decision or omission would give rise to unlawfulness or if any decision or omission has given

Part 2 Article 12 Page 6 of <u>9</u> rise to maladministration. Such a report will have the effect of stopping the proposal or decision being implemented until the report has been considered.

<u>Reporting on maladministration or injustice</u>

The Monitoring Officer will prepare reports as required by the Local Government Act 1974 and the Local Government and Housing Act 1989 in relation to complaints which have been the subject of investigation by the Local Government Ombudsman and which have revealed maladministration, whether or not that maladministration has caused injustice.

• Supporting the Standards Committee

The Monitoring Officer will contribute to the promotion and maintenance of high standards of conduct through provision of support to the Standards Committee⁵.

• Functions relating to the Members' register of interests and gifts and hospitality

The Monitoring Officer will establish, maintain and publish the register of Members' interests and gifts and hospitality.

• Functions relating to local assessment of Code of Conduct Complaints

To carry out functions relating to the local assessment of code of conduct complaints under the Local Government Act 2000 and the Standards Committee (England) Regulations 2008.

• Receiving reports

The Monitoring Officer will receive and act on reports made by Ethical Standards Officers and decisions of the <u>First-Tier Tribunal (Local Government Standards in England)</u> Case Tribunals.

Conducting investigations

The Monitoring Officer will conduct investigations into matters referred by the Standards Committee⁶ or by Ethical Standards Officers and make reports or recommendations in respect of the investigations to the Standards Committee⁷.

Parish Councils

The Monitoring Officer will perform ethical framework functions in relation to Parish and Town Councils in the Leeds area.

• Proper officer for access to information.

⁵ Or its sub-committees

⁶₇ Or its sub-committees

⁷ Or its sub-committees

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The Monitoring Officer will ensure that decisions, together with the reasons for those decisions and relevant officer reports and background papers are made publicly available as soon as possible.

Advising whether executive decisions are within the budget and policy framework

The Monitoring Officer will advise whether decisions of the Executive are in accordance with the Budget and Policy Framework

Contributing to corporate management

The Monitoring Officer will contribute to the corporate management of the Council, in particular through the provision of professional legal advice.

Providing advice

The Monitoring Officer will provide advice on the scope of powers and authority to take decisions, maladministration, financial impropriety, probity and Budget and Policy Framework issues to all Councillors and will support and advise Councillors in their respective roles. <u>The Monitoring Officer will also advise</u> <u>Members on the interpretation of the Members' Code of Conduct.</u>

Reporting on resources

The Monitoring Officer will report to the Council, as necessary on the staff, accommodation and resources s/he requires to discharge his/her statutory functions.

• Receiving copies of certificates

The Monitoring Officer will receive copies of certificates under the Local Authorities (Contracts) Regulations 1997.

Restrictions on posts

The Monitoring Officer cannot be the Chief Finance Officer or the Head of Paid Service.

12.3 FUNCTIONS OF THE CHIEF FINANCE OFFICER

Ensuring lawfulness and financial prudence of decision making

After consulting with the Head of Paid Service and the Monitoring Officer, the Chief Finance Officer will report to the full Council or to the Executive in relation to an executive function and the Council's external auditor if he/she considers that any proposal, decision or course of action will involve incurring unlawful expenditure, or is unlawful and is likely to cause a loss or deficiency or if the Council is about to enter an item of account unlawfully.

Administration of financial affairs

The Chief Finance Officer will have responsibility for the administration of the financial affairs of the Council.

Contributing to corporate management

The Chief Finance Officer will contribute to the corporate management of the Council, in particular through the provision of professional financial advice.

Providing advice

The Chief Finance Officer will provide advice on the scope of powers and authority to take decisions, maladministration, financial impropriety, probity and Budget and Policy Framework issues to all Councillors and will support and advise Councillors and officers in their respective roles.

• Give financial information

The Chief Finance Officer will provide financial information to the media, members of the public and the community.

12.4 Duty to provide sufficient resources to the Monitoring Officer and Chief Finance Officer

The Council will provide the Monitoring Officer and Chief Finance Officer with such officers, accommodation and other resources as are in their opinion sufficient to allow their duties to be performed.

12.5 Conduct

Officers will comply with the codes of conduct and protocols set out in Part 5 of this Constitution.

12.6 Employment

The recruitment, selection and dismissal of officers will comply with the Officer Employment Procedure Rules set out in Part 4 of this Constitution.